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(5) \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Art Unit: 2131  
Syvanne, et al. )  
Serial No.: 09/976,802 ) Examiner: unknown  
Filed: 10/12/2001 ) Docket No: BER-022

RECEIVED  
FEB 13 2002  
OFFICE OF PETITIONS

For: SYNCHRONIZATION OF SECURITY GATEWAY STATE INFORMATION

Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

TRANSMITTAL OF MISSING PARTS


Sir:

Enclosed herewith for filing with respect to the above-identified patent application, please find:

1. Correction of Erroneously Established Small Entity Status Under 37 CFR 1.28(c)
2. PART 2 - Notice to File Missing Parts of Nonprovisional Application.
3. Declaration for Utility or Design Patent Application signed by all inventors.
4. Check in the amount of \$692.00 for the surcharge for late filing and Large Entity Deficiency Fees.
5. A return post card.


The Commissioner is authorized to charge any additional fees **necessary at any time** to keep this case from becoming abandoned to Deposit Account No. 06-0932.

Respectfully submitted,

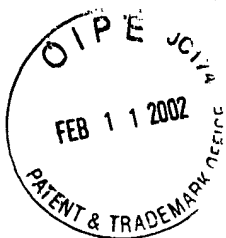
  
\_\_\_\_\_  
Ronald Craig Fish  
Reg. No. 28,843

Dated: January 15, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231 on January 15, 2002  
(Date Of Deposit)

  
\_\_\_\_\_  
Ronald Craig Fish, President  
Ronald Craig Fish a Law Corporation  
Reg. No. 28,843

# 3



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Syvanne, et al.

) Art Unit: 2131

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) Examiner: unknown

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) Docket No: BER-022

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Serial No.: 09/976,802

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Washington, D.C. 20231

**CORRECTION OF ERRONEOUSLY ESTABLISHED**

**SMALL ENTITY STATUS UNDER 37 CFR 1.28(C)**

Dear Sir:

The assignee of the subject patent application was erroneously asserted to be a small entity when the application was filed on grounds the foreign associate in Finland who wrote the priority application for the assignee erroneously indicated to the undersigned that the assignee was entitled small entity status. The assignee had actually become a large entity, but the foreign associate did not know this when she indicated to the undersigned that the assignee was a small entity. Accordingly, small entity status entitlement was erroneously indicated in good faith when the subject application was filed.

**PATENT**

**PAYMENT OF DEFICIENCY OWED**

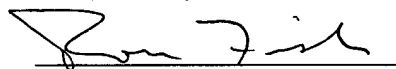
**Calculation and Itemization of Deficiency Payment Per 37 CFR 1.28(C)**

Type of Fee Erroneously Paid as a Small Entity	Small Entity Fee Actually Paid	When Small Entity Fee Was Paid	Amount Due For This Type of Fee Now For a Large Entity	Deficiency Owed
Filing Fee	\$370	10/12/2001	\$740	\$370
Surcharge For Filing of Signed Formal Papers or Payment of Filing Fee After Filing				\$130
Extra Claims Fees For 3 extra independent claims	\$84	10/12/2001	\$168	\$84
Extra Claims Fees For 12 claims in excess of 20	\$108	10/12/01	\$216	\$108
			<b>Total Defic.</b>	\$692

Enclosed is a check for the total deficiency owed. The Commissioner is authorized to charge any further deficiency owed or credit any overpayments to Deposit Account 06-0932.

January 15, 2002

Respectfully submitted,



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

FEB 11 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,802	10/12/2001	Tuomo Syvanne	BER-022

CONFIRMATION NO. 2443

## FORMALITIES LETTER



\*OC000000007073872\*

26717  
FALK AND FISH  
16590 OAK VIEW CIRCLE  
MORGAN HILL, CA 95037

Date Mailed: 11/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/13/2002 SDIRETA1 00000077 09976802

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	216.00 OP
04 FC:102	168.00 OP

Filing Date Granted

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FEB 13 2002  
OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Beth T. Bustia

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 02/13/2002 SDIRETA1

10/17/2001 VTRUONG1 00000057 09976802

01 FC:201	-370.00 OP
02 FC:202	-84.00 OP
03 FC:203	-108.00 OP